



## Department of Energy

Oak Ridge Office  
P.O. Box 2001  
Oak Ridge, Tennessee 37831—

June 10, 2009

Mr. Michael J. Fietze, Director  
Prime Contract Administration  
UT-Battelle, LLC  
P.O. Box 2008  
Oak Ridge, TN 37831-6262

Dear Mr. Fietze:

### **CONTRACT NO. DE-AC05-00OR22725 - MODIFICATION NO. 214**

A fully executed copy of the subject contract modification is enclosed for your retention. This modification obligates American Recovery and Reinvestment Act of 2009 funding in the amount of \$5,800,000 to the contract for Work Authorization No. WI-470002-18777-09 entitled "Training and Technical Assistance for the EERE Weatherization and Intergovernmental Activities Program". Additional clarification regarding required Milestones and Measures may be provided at a later date after discussions with DOE program personnel.

If you have any questions, please contact me at 576-4523.

Sincerely,

*Mark A. Million*

Mark A. Million  
Contracting Officer

Enclosure

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE	PAGE OF PAGES 1 3	
2. AMENDMENT/MODIFICATION NO. 214	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO. 09SC003068	5. PROJECT NO. (If applicable)	
6. ISSUED BY Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518	7. ADMINISTERED BY (If other than Item 6) Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) UT-BATTELLE, LLC Attn: Michael J. Freitze, Director Prime Contract Administration Post Office Box 2008 OAK RIDGE TN 37831-6231		(x) 9A. AMENDMENT OF SOLICITATION NO.	9B. DATED (SEE ITEM 11)	
CODE 099114287 FACILITY CODE		x 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22725	10B. DATED (SEE ITEM 11) 10/18/1999	

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers  is extended,  is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required) Net Increase: \$5,800,000.00  
See Page 3.

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) Clauses I.143 and I.152, P.L. 95-91, and P.L. 111-5

E. IMPORTANT: Contractor  is not,  is required to sign this document and return 0 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

Subj to Retent: N

Recovery TAS::89 0331

See Page 3.

Note: Information reflected on page 2 is not relevant to this modification and may not be accurate. Please disregard page 2.

Continued ...

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Mark A. Million	
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA <i>Mark A. Million</i> (Signature of Contracting Officer)	16C. DATE SIGNED 6/10/09

NSN 7540-01-152-8070  
Previous edition unusable

STANDARD FORM 30 (REV. 10-83)  
Prescribed by GSA  
FAR (48 CFR) 53.243

<b>CONTINUATION SHEET</b>	REFERENCE NO. OF DOCUMENT BEING CONTINUED	PAGE	OF
	DE-AC05-00OR22725/214	2	3

NAME OF OFFEROR OR CONTRACTOR  
 UT-BATTELLE, LLC

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
00001	FOB: Destination Period of Performance: 04/01/2000 to 03/31/2010  Change Item 00001 to read as follows (amount shown is the total amount):  MANAGEMENT AND OPERATION OF THE OAK RIDGE NATIONAL LABORATORY Incrementally Funded Amount: \$9,046,342,091.00				

**Block 12 “ACCOUNTING AND APPROPRIATIONS DATA,” continued.**

**Funds Obligated for Recovery Act Projects:**

All required accounting and appropriations data, including the Treasury Accounting Symbol and Fund Code is hereby provided as Attachment 2 to this modification.

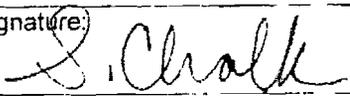
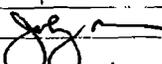
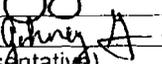
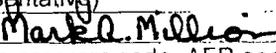
**Block 14 “DESCRIPTION OF AMENDMENT/MODIFICATION,” continued.**

- A. This modification is issued to obligate American Recovery and Reinvestment Act (Recovery Act) of 2009 funds for the purpose of Training and Technical Assistance for the EERE Weatherization and Intergovernmental Activities Program.
- B. Accordingly, pursuant to Section I Contract Clause – DEAR 970.5232-4 entitled “Obligation of Funds,” funds in the amount of \$5,800,000 are hereby obligated in support of Recovery Act activities. The total amount of funds obligated under this contract since its inception is increased from \$9,431,890,012.63 to \$9,437,690,012.63.
- C. The specific Contractor Recovery Act Statement of Work, Milestones, Outcomes and Measures, and Deliverables funded by this modification are identified in the following referenced Work Authorization(s):

<b>Work Authorization Number</b>	<b>Work Authorization Title</b>
WA No. WI-470002-18777-09 (Attachment 1)  (Number from Block 8 of the Work Authorization)	Training and Technical Assistance for the EERE Weatherization and Intergovernmental Activities Program

- D. The work described in this modification shall be performed using funds obligated under this contract, which have been appropriated under the Recovery Act of 2009, (Pub. L. 111-5), and as such, is subject to the special statutory conditions and the additional contractual terms and conditions that are listed in section E below and previous contract modifications related to the Recovery Act. The funds obligated hereunder shall only be used to accomplish the work as set forth in section C. above and may not be used for any other purpose without the prior written consent of the Contracting Officer.
- E. The Contractor shall complete all Recovery Act Work funded by this modification in accordance with Recovery Act requirements, including the required completion dates specified therein, and by the completion date identified in the approved work authorization for the activity.
- F. All other terms and conditions remain unchanged.

**U.S. DEPARTMENT OF ENERGY  
CONTRACT WORK AUTHORIZATION**

1a. Solicitation/Project Title: Evaluation		1b. Work Proposal Number (if applicable): AOP# OR22725	
2. Headquarters Program Point of Contact: Name: Ronald Shaw		Organization Code:	Telephone No: (202) 586-6593
3. Headquarters Budget Point of Contact: Name: Jay Schell		Organization Code:	Telephone No: (202) 586-0660
4. Responsible Program: Office of Energy Efficiency and Renewable Energy		5. Responsible Secretarial Officer: Steve Chalk	
6. Responsible Field Element: Oak Ridge Operations Office			
7a. Site and Facility Management Contractor: University of Tennessee - Battelle (Oak Ridge National Laboratory)		7b. Contractor Point of Contact: Name: Joel Eisenberg Telephone No: (202) 479-0439	
8. Work Authorization Number * WI-470002-18777-09		9. Revision Number: 1	
10. Funds Authorized during FY 2009			
<u>B&amp;R Code</u>	<u>Previous</u>	<u>Change</u>	<u>Current</u>
WI0702000-05797-1004760	\$0	\$5,800,000	\$5,800,000
11. Performance period covered by funds: From: 10/1/2008 To: 9/30/2009		12. Work Start Date: 10/1/2008	13. Expected Completion Date: 9/30/2009
14. Statement of Work: Funding in the amount of \$5,800,000 is authorized for Training & Technical Assistance. These funds are made available for Project #18777 - Evaluation. These funds are to be distributed to Agreement # 6969-Evaluation in accordance with AOP# OR22725. ORNL's contractor will conduct impact assessments to evaluate energy savings and bill reductions resulting from the Weatherization Assistance Program. ORNL's contractor will also conduct analyses of the relationships between various measures and techniques employed by the program and the energy savings produced, including in-depth assessment of the approaches used to conduct/perform audits, client education, training, and monitoring. The awardee will prepare a draft and final report providing detailed assessments of energy savings, job creation, and other benefits from the program. The evaluation will cover baseline periods of Program Years 2007 and 2008 as well as the period of the implementation of the American Recovery and Reinvestment Act of 2009. ORNL may also conduct special studies of baseload electric savings, air conditioning, health and safety, and other measures should funding permit and the results of these special reports will be integrated into the analysis of the overall performance of the Weatherization Assistance Program. Funding provided in this work authorization is not approved for obligation of any type of support service contract either as a prime or subcontract award. The laboratory recipient of the funds is responsible for reporting all funding obligations and costs to headquarters. The laboratory recipient must maintain obligations and cost data at the agreement level and the data must be made available to EERE. Project Code 2004360.			
15. Reporting Requirements: (Status reports, scientific or technical information or similar)			
16. Work Authorization Program Official:			
Name (typed): Steven Chalk, Principal Deputy Assistant Secretary	Signature: 	Date: 5/12/09	
17. DOE Field Organization Official:			
Name (typed):	Signature: 	Date: 5/27/09	
18. Contractor's Authorized Representative:			
Name (typed): D.G. CHRISTENSEN	Signature:  to D.C.C.	Date: 5/29/09	
19. DOE Contracting Officer (or delegated representative):			
Name (typed):	Signature: 	Date: 5/27/09	

\* The work authorization number will consist of the program code, AFP code, solicitation/project id and the fiscal year

Financial Plan Number: 1

Fiscal Year: 2009

Fiscal Month: 09

Contract Modification Number: 214

Rpt Entity: OR OR3/OR4 - Oak Ridge National Lab, X-10, UT-Battelle

# Financial Plan Report - Detail

Site: OR

Page 1 of 1

Report: RFP0001

## OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100331)

Report Generated on: June 3, 2009 at 03:13:06 PM

Rpt Entity	Fund Code	Leg FT	Program	Legacy B&R	Obj. Class	Local Use	Project	WFO	Legacy Order Number	Beginning Uncosted Obs	Previous	BA Change	Revised	Total Available
470002	05797	ZW	1004760	WI0702000	25400	0000000	2004360	0000000		0.00	0.00	5,800,000.00	5,800,000.00	5,800,000.00
<i>AY 2009 - Work Authorization Number: WI-470002-18777-09; Appropriation Symbol: 8909/100331</i>														
Total for Program Parent/Control Point: WI0702000										0.00	0.00	5,800,000.00	5,800,000.00	5,800,000.00
Total for Fund Type: ZW										0.00	0.00	5,800,000.00	5,800,000.00	5,800,000.00
Total for Recipient Code: OR										0.00	0.00	5,800,000.00	5,800,000.00	5,800,000.00
Total for Reporting Entity: 470002														
Total for OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100331)										0.00	0.00	5,800,000.00	5,800,000.00	5,800,000.00