



Department of Energy

Oak Ridge Office
P.O. Box 2001
Oak Ridge, Tennessee 37831—

June 11, 2009

Mr. Michael J. Frieze, Director
Prime Contract Administration
UT-Battelle, LLC
P.O. Box 2008
Oak Ridge, TN 37831-6262

Dear Mr. Frieze:

CONTRACT NO. DE-AC05-00OR22725 - MODIFICATION NO. 216

A fully executed copy of the subject contract modification is enclosed for your retention. This modification obligates American Recovery and Reinvestment Act of 2009 funding in the amount of \$200,000 to the contract for Work Authorization No. 470002-20476-09 entitled "Federal Lab Support for Recovery Act Transactions ARRA FY09".

If you have any questions, please contact me at 576-4523.

Sincerely,

A handwritten signature in black ink that reads "Mark A. Million".

Mark A. Million
Contracting Officer

Enclosure

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT		1. CONTRACT ID CODE	PAGE OF PAGES 1 3
2. AMENDMENT/MODIFICATION NO. 216	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO. 09SC003185	5. PROJCT NO. (If applicable)
6. ISSUED BY Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518	7. ADMINISTERED BY (If other than Item 6) Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) UT-BATTELLE, LLC Attn: Michael J. Freitze, Director Prime Contract Administration Post Office Box 2008 OAK RIDGE TN 37831-6231		(x) 9A. AMENDMENT OF SOLICITATION NO.	
CODE 099114287 FACILITY CODE		9B. DATED (SEE ITEM 11)	
		X 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22725	
		10B. DATED (SEE ITEM 11) 10/18/1999	

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required) Net Increase: \$200,000.00
See Page 3.

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) Clause I.143, P.L. 95-91, and P.L. 111-5

E. IMPORTANT: Contractor is not, is required to sign this document and return 0 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

Subj to Retent: N
Recovery TAS::89 0331

See Page 3.

Note: Information reflected on Page 2 is not relevant to this modification and may not be accurate. Please disregard Page 2.

FOB: Destination
Period of Performance: 04/01/2000 to 03/31/2010

Continued ...

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Mark A. Million	
15B. CONTRACTOR/OFFEROR (Signature of person authorized to sign)	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA Mark A. Million (Signature of Contracting Officer)	16C. DATE SIGNED 6/11/09

CONTINUATION SHEET	REFERENCE NO. OF DOCUMENT BEING CONTINUED	PAGE	OF
	DE-AC05-00OR22725/216	2	3

NAME OF OFFEROR OR CONTRACTOR
 UT-BATTELLE, LLC

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
00001	Change Item 00001 to read as follows (amount shown is the total amount): Recovery TAS::89 0331::TAS Recovery - MANAGEMENT AND OPERATION OF THE OAK RIDGE NATIONAL LABORATORY; Federal Lab Support for Recovery Act Transactions ARRA FY09 Incrementally Funded Amount: \$9,047,082,091.00				

Block 12 “ACCOUNTING AND APPROPRIATIONS DATA,” continued.

Funds Obligated for Recovery Act Projects:

All required accounting and appropriations data, including the Treasury Accounting Symbol and Fund Code is hereby provided as Attachment 2 to this modification.

Block 14 “DESCRIPTION OF AMENDMENT/MODIFICATION,” continued.

- A. This modification is issued to obligate American Recovery and Reinvestment Act (Recovery Act) of 2009 funds for the project entitled “Federal Lab Support for Recovery Act Transactions ARRA FY09”.
- B. Accordingly, pursuant to Section I Contract Clause – DEAR 970.5232-4 entitled “Obligation of Funds,” funds in the amount of \$200,000 are hereby obligated in support of Recovery Act activities. The total amount of funds obligated under this contract since its inception is increased from \$9,438,230,012.63 to \$9,438,430,012.63.
- C. The specific Contractor Recovery Act Statement of Work, Milestones, Outcomes and Measures, and Deliverables funded by this modification are identified in the following referenced Work Authorization(s):

Work Authorization Number	Work Authorization Title
WA No. 470002-20476-09 (Attachment 1) (Number from Block 8 of the Work Authorization)	Federal Lab Support for Recovery Act Transactions ARRA FY09

- D. The work described in this modification shall be performed using funds obligated under this contract, which have been appropriated under the Recovery Act of 2009, (Pub. L. 111-5), and as such, is subject to the special statutory conditions and the additional contractual terms and conditions that are listed in section E below and previous contract modifications related to the Recovery Act. The funds obligated hereunder shall only be used to accomplish the work as set forth in section C above and may not be used for any other purpose without the prior written consent of the Contracting Officer.
- E. The Contractor shall complete all Recovery Act Work funded by this modification in accordance with Recovery Act requirements, including the required completion dates specified therein, and by the completion date identified in the approved work authorization for the activity.
- F. All other terms and conditions remain unchanged.

Attachment 1

U.S. DEPARTMENT OF ENERGY		09-14017
CONTRACT WORK AUTHORIZATION		
1a. Project Title: Federal Lab Support for Recovery Act Transactions ARRA FY09		1b. Work Proposal Number (if applicable):
2. Headquarters Program Point of Contact: Name: Mark Bailey Organization Code: EE-2K Telephone No.: (202) 586-9424		
3. Headquarters Budget Point of Contact: Name: Thomas Heavey Organization Code: EE-3E Telephone No.: (202) 586-4501		
4. Responsible Program: Office of Weatherization and Intergovernmental Program		5. Responsible Secretarial Officer: Assistant Secretary for Energy Efficiency & Renewable Energy
6. Responsible Field Organization: Oak Ridge Operations Office		
7a. Site and Facility Management Contractor: University of Tennessee - Battelle (Oak Ridge National Laboratory)		7b. Contractor Point of Contact: Name: Greg Turner Telephone No.: (865) 241-0648
8. Work Authorization Number: 470002-20476-09		9. Revision Number: 0
10. Funds Authorized (\$ in thousands). B&R Code: EB51 Previous: \$0 Change: \$200,000 Current: \$200,000		
11. Performance Period Covered by Funds. From: 6/3/09 To: 9/30/10		12. Work Start Date: 6/5/09
13. Expected Completion Date: 9/30/10		
14. Statement of Work: <p>This Work Authorization is issued for the sole purpose of authorizing work in support of the American Recovery and Reinvestment Act of 2009, (Pub. L. 111-5) (Recovery Act). All contract terms and conditions apply to the work identified herein, in particular contract provision H. 999 applies. The work described in this work authorization shall be performed using funds obligated under the contract, appropriated under the Recovery Act and as such is subject to special statutory conditions.</p> <p>The Office of Science (SC) is committed to conducting all work authorized herein, in a manner that ensures protection of the workers, the public, and the environment. Protecting the workers, the public, and the environment is a direct and individual responsibility of all SC managers and SC-supported contractors. Funds provided by SC will be applied as necessary to ensure that all supported activities are conducted safely and in an environmentally conscientious manner. Only work conducted in this manner will be supported.</p> <p>Specific Recovery Act Statement of Work:</p> <p>Funding in the amount of \$200,000.00 is authorized for Management and Oversight (Program Direction). Funds are to enable the Laboratory to provide project management and technical staff assistance to applicants of DOE's Office of Energy Efficiency and Renewable Energy American Reinvestment and Recovery Act programs as requested by the NREL Point of Contact responsible for these programs. Efforts include providing subject matter experts to support recipients in developing their projects and providing content review for block grant applications. Authorized use of funding also includes travel, training, salaries, and related expenses required to implement this work.</p> <p>ARRA funding information:</p> <p>Fund: 05794, Appropriation: 89-09/10-0331, Allottee: 60, B&R Code/Program Value: EB5100000-05794-1005098 Project Code: 2004040</p>		

U.S. DEPARTMENT OF ENERGY CONTRACT WORK AUTHORIZATION

-100036-20476-09

Continued Page 2

Attachment A, Contractor Recovery Act Performance Requirements, identifies the Contractor Recovery Act Schedule or Milestones, Performance Outcomes and Measures and Deliverables for this Work Authorization. The contractor's performance against the identified requirements in Attachment A will be evaluated under the Office of Science laboratory appraisal process.

15. Reporting Requirements (Status reports, scientific and technical information or similar):

The recipient of funding in this Work Authorization is responsible for meeting all reporting requirements established by the Department of Energy for activities funded by the American Recovery and Reinvestment Act of 2009.

16. Work Authorization Program Official.

Name (typed): Avon Meacham

Signature: *[Signature]*

Date: 6/4/09

17. DOE Field Organization Official.

Name (typed):

Signature: *[Signature]*

Date: 6/5/09

18. Contractor's Authorized Representative.

Name (typed): Dana C. Christensen

Signature: *[Signature]*

Date: 5 June 2009

19. DOE Contracting Officer (or delegated representative).

Name (typed):

Signature: *[Signature]*

Date: 6/5/09

*Shaw
6-5-09*

ATTACHMENT A – CONTRACTOR RECOVERY ACT PERFORMANCE REQUIREMENTS

<p>Section A: <u>Contractor Recovery Act Schedule or Milestone Requirements</u></p> <p>The contractor shall review and evaluate EECBG grants in accordance with the schedule and milestones established by the procurement team. Milestones: Provide technical assistance and application review for block grant applications as requested.</p>
<p>Section B: <u>Contractor Recovery Act Performance Outcomes and Measures</u></p> <p>The contractor will become proficient, knowledgeable and subject matter experts in EECBG grant reviews and evaluations. All reviews and evaluations will be conducted and completed in accordance with the procedures, milestones and schedule specified by the procurement team. The contractor will be assessed on the quality and completeness of EECBG grant reviews and evaluations. This will include the ability to successfully articulate and apply the goals and objectives of EECBG in conducting reviews and evaluations.</p>
<p>Section C: <u>Contractor Recovery Act Deliverables</u></p> <ul style="list-style-type: none">• Attend and participate in EECBG training June 9-10, 2009, in Golden, Colorado.• Review and evaluate EECBG materials in support of the procurement team and established procedures, milestones and schedule.• Participate in EECBG implementation as subject matter experts as required.

Financial Plan Number: 2

Fiscal Year: 2009

Fiscal Month: 09

Contract Modification Number: 216

Rpt Entity: OR OR3/OR4 - Oak Ridge National Lab, X-10, UT-Battell

Financial Plan Report - Detail

Site: OR

Page 1 of 1

Report: RFP0001

OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100331)

Report Generated on: June 8, 2009 at 01:05:34 PM

Rpt Entity	Fund Code	Leg FT	Program	Legacy B&R	Obj. Class	Local Use	Project	WFO	Legacy Order Number	Beginning Uncosted Obs	Previous	BA Change	Revised	Total Available
470002	05794	ZT	1005098	EB5100000	25400	0473100	2004040	0000000		0.00	0.00	200,000.00	200,000.00	200,000.00
<i>AY 2009 - Work Authorization Number 470002-20476-09</i>														
Total for Program Parent/Control Point: EB5100000										0.00	0.00	200,000.00	200,000.00	200,000.00
Total for Fund Type: ZT										0.00	0.00	200,000.00	200,000.00	200,000.00
470002	05797	ZW	1004760	WI0702000	25400	0000000	2004360	0000000		0.00	5,800,000.00	0.00	5,800,000.00	5,800,000.00
<i>AY 2009 - Work Authorization Number: WI-470002-18777-09; Appropriation Symbol: 8909\100331</i>														
Total for Program Parent/Control Point: WI0702000										0.00	5,800,000.00	0.00	5,800,000.00	5,800,000.00
Total for Fund Type: ZW										0.00	5,800,000.00	0.00	5,800,000.00	5,800,000.00
Total for Recipient Code: OR										0.00	5,800,000.00	200,000.00	6,000,000.00	6,000,000.00
Total for Reporting Entity: 470002														
Total for OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100331)										0.00	5,800,000.00	200,000.00	6,000,000.00	6,000,000.00