

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE	PAGE OF PAGES 1   2
2. AMENDMENT/MODIFICATION NO. 298	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO. 10SC000939	5. PROJECT NO. (If applicable)
6. ISSUED BY Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518	7. ADMINISTERED BY (If other than Item 6) Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) UT-BATTELLE, LLC Attn: Michael J. Freitze, Director Prime Contract Administration Post Office Box 2008 OAK RIDGE TN 37831-6231		(x) 9A. AMENDMENT OF SOLICITATION NO.	9B. DATED (SEE ITEM 11)
CODE 099114287 FACILITY CODE		X 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22725	10B. DATED (SEE ITEM 11) 10/18/1999

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers  is extended,  is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)  
See Page 2. Net Increase: \$350,205.00

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) 970.5232-4 OBLIGATION OF FUNDS (DEC 2000), P.L. 111-5, and P.L. 95-91

E. IMPORTANT: Contractor  is not,  is required to sign this document and return 0 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

Subj to Retent: N  
Recovery TAS::89 0331::TAS

See Page 2.

FOB: Destination  
Period of Performance: 04/01/2000 to 03/31/2010

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Mark A. Million
15B. CONTRACTOR/OFFEROR  (Signature of person authorized to sign)	15C. DATE SIGNED
16B. UNITED STATES OF AMERICA  Mark A. Million (Signature of Contracting Officer)	16C. DATE SIGNED 11/09/2009

NSN 7540-01-152-8070  
Previous edition unusable

STANDARD FORM 30 (REV. 10-83)  
Prescribed by GSA  
FAR (48 CFR) 53.243

**Block 12 “ACCOUNTING AND APPROPRIATIONS DATA,” continued.**

**Funds Obligated for Recovery Act Projects:**

All required accounting and appropriations data, including the Treasury Accounting Symbol and Fund Code is hereby provided as Attachment 2 to this modification.

**Block 14 “DESCRIPTION OF AMENDMENT/MODIFICATION,” continued.**

- A. This modification is issued to obligate American Recovery and Reinvestment Act (Recovery Act) of 2009 funds in the amount of \$350,205 to the contract for the project entitled “ARRA 48C Tax Credit Applications”.
- B. Accordingly, pursuant to Section I Contract Clause – DEAR 970.5232-4 entitled “Obligation of Funds,” additional funds in the amount of \$350,205 are hereby obligated in support of Recovery Act activities. The total amount of funds obligated under this contract since its inception is increased from \$10,002,532,949.26 to \$10,002,883,154.26.
- C. The specific Contractor Recovery Act Statement of Work, Milestones, Outcomes and Measures, and Deliverables funded by this modification are identified in the following referenced Work Authorization(s):

<b>Work Authorization Number</b>	<b>Work Authorization Title</b>
WA No. 471999-20758-10B (Attachment 1)	ARRA 48C Tax Credit Applications
(Number from Block 8 of the Work Authorization)	

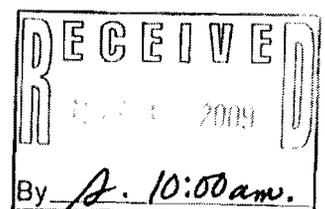
- D. The work described in this modification shall be performed using funds obligated under this contract, which have been appropriated under the Recovery Act of 2009, (Pub. L. 111-5), and as such, is subject to the special statutory conditions and the additional contractual terms and conditions that are listed in section E below and previous contract modifications related to the Recovery Act. The funds obligated hereunder shall only be used to accomplish the work as set forth in section C above and may not be used for any other purpose without the prior written consent of the Contracting Officer.
- E. The Contractor shall complete all Recovery Act Work funded by this modification in accordance with Recovery Act requirements, including the required completion dates specified therein, and by the completion date identified in the approved work authorization for the activity.
- F. All other terms and conditions remain unchanged.

# ATTACHMENT 1

## WORK AUTHORIZATION

U.S. DEPARTMENT OF ENERGY CONTRACT WORK AUTHORIZATION			
1a. Solicitation/Project Title: ARRA 48C Tax Credits Applications		1b. Work Proposal Number (if applicable):	
2. Headquarters Program Point of Contact: Name: Wendolyn Holland		Organization Code: EERE	Telephone No: (202) 586-3003
3. Headquarters Budget Point of Contact: Name: Thomas Heavey		Organization Code: EE-3B	Telephone No: (202) 586-4501
4. Responsible Program: Office of Energy Efficiency and Renewable Energy		5. Responsible Secretarial Officer: Catherine Zol	
8. Responsible Field Element: Oak Ridge Operations Office			
7a. Site and Facility Management Contractor: UT-Battelle (Oak Ridge National Laboratory)		7b. Contractor Point of Contact: Name: Michael FRIEZE Telephone No: <i>Tom KING well, 10/28/09</i>	
8. Work Authorization Number: * -471999-20758-10B		9. Revision Number: 0	
10. Funds Authorized during FY 2010 :			
<u>B&amp;R Code</u>	<u>Previous</u>	<u>Change</u>	<u>Current</u>
EB5100000-05794-100509B	\$0	\$350,205	\$350,205
11. Performance period covered by funds: From: 10/1/2009 To: 9/30/2010		12. Work Start Date: 10/1/2009	13. Expected Completion Date: 9/30/2010
14. Statement of Work: Carryover funding in the amount of \$350,205 is authorized for Program Direction. These funds are made available for Project #20758 - ARRA 48C Tax Credits Applications. These funds are to be distributed to Agreement # 20381-ORO ARRA 48C Tax Credits Application, CID #N/A for award to UT-Battelle/Oak Ridge National Laboratory. ** ARRA Project 2004040 ** Conduct both Independent Reviews and Merit Reviews for evaluating and selecting Applications in response to IRS Notice 2009-72 titled "Qualifying Advanced Energy Project Credit". Funding provided in this work authorization is not approved for obligation of any type of support service contract either as a prime or subcontract award. The field AFP recipient of the funds is responsible for reporting all funding obligations and costs to the Program Management Center and headquarters. The field AFP recipient must maintain obligations and cost data at the agreement level and the data must be made available to EERE.			
15. Reporting Requirements: (Status reports, scientific or technical information or similar)			
16. Work Authorization Program Official: Name (typed): A. Avon Meacham, Director OPBA Signature: <i>A. Avon Meacham</i> Date: 10/27/09			
17. DOE Field Organization Official: Name (typed): <i>Johnny O. Moore</i> Signature: <i>M. J. Brantley</i> Date: 11/2/09			
18. Contractor's Authorized Representative: Name (typed): <i>D.C. Christensen</i> Signature: <i>Tom King</i> Date: 3 Nov 2009			
19. DOE Contracting Officer (or delegated representative): Name (typed): <i>Mark A. Millon</i> Signature: <i>Mark A. Millon</i> Date: 10/29/09			
* The work authorization number will consist of the program code, AFP code, solicitation/project id and the fiscal year.			

FED 10-14105 AFP Oct



## ATTACHMENT A – CONTRACTOR RECOVERY ACT PERFORMANCE REQUIREMENTS

### **Section A: Contractor Recovery Act Schedule or Milestone Requirements**

The contractor (Oak Ridge National Laboratory) will conduct evaluations of applications for the ARRA Section 1302 "CREDIT FOR INVESTMENT IN ADVANCED ENERGY FACILITIES" promulgated in Internal Revenue Service Notice 2009-72 and submit review reports by no later than ~~November 8, 2009~~ *NOV. 15, 2009* *NEL, 10/28/09*

The evaluation includes reviewing, rating, scoring and writing comments on the strengths and weaknesses of each application reviewed. The contractor may travel to Washington DC to participate in consensus reviews either the week November 16-20 or November 30- December 4.

### **Section B: Contractor Recovery Act Performance Outcomes and Measures**

The outcome will be concise, factual, insightful, accurate statements on the merits and weaknesses of each application reviewed. The outcome of the consensus meetings will be better informed decision making by DOE senior management.

### **Section C: Contractor Recovery Act Deliverables**

The deliverable will be electronic files for each application reviewed using the DOE Golden Field Office (GFO) Merit Review Tool and templates provided. The files should be delivered to Jennifer Luna of the GFO Project and Commercialization Office. The deliverable of the consensus review will be a trip report detailing recommendations to DOE senior management.

# ATTACHMENT 2

## FINANCIAL PLAN REPORT

Financial Plan Number: 1  
 Contract Modification Number: \*\* No MOD \*\*  
 Rpt Entity: OR OR3/OR4 - Oak Ridge National Lab, X-10, UT-Batelle

Fiscal Year: 2010  
 Fiscal Month: 02

# Financial Plan Report - Detail

Site: OR  
 Report: RFP0001

**OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100331)**

Report Generated on: November 5, 2009 at 03:04:11 PM

Rpt Entity	Fund Code	Leg FT	Program	Legacy B&R	Obj. Class	Local Use	Project	WFO	Legacy Order Number	Beginning Uncosted Obs	Previous	BA Change	Revised	Total Available
470002	05794	ZT	1004172	BM0102030	25400	0000000	2004020	0000000		705,763.85	0.00	0.00	0.00	705,763.85
470002	05794	ZT	1004173	BM0102060	25400	0000000	2004000	0000000		655,029.94	0.00	0.00	0.00	655,029.94
470002	05794	ZT	1004173	BM0102060	25400	0000000	2004570	0000000		4,999,613.74	0.00	0.00	0.00	4,999,613.74
<b>Total for Program Parent/Control Point: BM0100000</b>										<b>6,360,407.53</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>6,360,407.53</b>
470002	05794	ZT	1004281	EB4005020	25400	0000000	2004190	0000000		1,920,000.00	0.00	0.00	0.00	1,920,000.00
<b>Total for Program Parent/Control Point: EB4000000</b>										<b>1,920,000.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>1,920,000.00</b>
470002	05794	ZT	1005098	EB5100000	25400	0000000	2004040	0000000		0.00	0.00	350,205.00	350,205.00	350,205.00
<i>AY 2009 - Work Authorization Number: 471999-20758-10B; Appropriation Number: 8909/100331</i>														
470002	05794	ZT	1005098	EB5100000	25400	0473100	2004040	0000000		150,291.32	0.00	0.00	0.00	150,291.32
<b>Total for Program Parent/Control Point: EB5100000</b>										<b>150,291.32</b>	<b>0.00</b>	<b>350,205.00</b>	<b>350,205.00</b>	<b>500,496.32</b>
470002	05794	ZT	1004383	ED1904032	25400	0000000	2004320	0000000		10,401,949.12	0.00	0.00	0.00	10,401,949.12
470002	05794	ZT	1004383	ED1904032	31003	0000000	2004320	0000000		4,490,655.42	0.00	0.00	0.00	4,490,655.42
470002	05794	ZT	1004397	ED1907042	25400	0000000	2004310	0000000		1,224,800.00	0.00	0.00	0.00	1,224,800.00
470002	05794	ZT	1004889	ED1908000	25400	0000000	2004320	0000000		3,076,640.05	0.00	0.00	0.00	3,076,640.05
<b>Total for Program Parent/Control Point: ED0000000</b>										<b>19,194,044.59</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>19,194,044.59</b>
470002	05794	ZT	1004578	EL1702010	25400	0000000	2004160	0000000		646,120.72	0.00	0.00	0.00	646,120.72
470002	05794	ZT	1004580	EL1703010	25400	0000000	2004160	0000000		984,443.83	0.00	0.00	0.00	984,443.83
470002	05794	ZT	1004582	EL1704000	25400	0000000	2004160	0000000		519,945.39	0.00	0.00	0.00	519,945.39
<b>Total for Program Parent/Control Point: EL1700000</b>										<b>2,150,509.94</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>2,150,509.94</b>
470002	05794	ZT	1005113	WI1100000	25400	0000000	2004380	0000000		2,477,283.34	0.00	0.00	0.00	2,477,283.34
<b>Total for Program Parent/Control Point: WI1100000</b>										<b>2,477,283.34</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>2,477,283.34</b>
<b>Total for Fund Type: ZT</b>										<b>32,252,536.72</b>	<b>0.00</b>	<b>350,205.00</b>	<b>350,205.00</b>	<b>32,602,741.72</b>
470002	05796	ZV	1005116	WI1001020	25400	0000000	2004350	0000000		16,789,712.89	0.00	0.00	0.00	16,789,712.89
<b>Total for Program Parent/Control Point: WI1001000</b>										<b>16,789,712.89</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>16,789,712.89</b>
<b>Total for Fund Type: ZV</b>										<b>16,789,712.89</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>16,789,712.89</b>
470002	05797	ZW	1004760	WI0702000	25400	0000000	2004360	0000000		11,568,008.19	0.00	0.00	0.00	11,568,008.19
<b>Total for Program Parent/Control Point: WI0702000</b>										<b>11,568,008.19</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>11,568,008.19</b>
<b>Total for Fund Type: ZW</b>										<b>11,568,008.19</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>11,568,008.19</b>
<b>Total for Recipient Code: OR</b>										<b>60,610,257.80</b>	<b>0.00</b>	<b>350,205.00</b>	<b>350,205.00</b>	<b>60,960,462.80</b>
<b>Total for Reporting Entity: 470002</b>														
<b>Total for OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100331)</b>										<b>60,610,257.80</b>	<b>0.00</b>	<b>350,205.00</b>	<b>350,205.00</b>	<b>60,960,462.80</b>

Financial Plan Number: 1  
Contract Modification Number: \*\* No MOD \*\*  
Rpt Entity: OR OR3/OR4 - Oak Ridge National Lab, X-10, UT-Batelle

Fiscal Year: 2010  
Fiscal Month: 02

**Financial Plan Report - Detail**

Site: OR

Page 2 of 2  
Report: RFP0001

**OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100331)**

Report Generated on: November 5, 2009 at 03:04:11 PM

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<u>Agency</u>	<u>Obligation Change Amount</u>
Total Appropriated Funds (Program 40):	0.00
Total DOE and Non-Appropriated Funds:	350,205.00
Grand Total:	350,205.00
Total Non-Appropriated Funds:	0.00

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