



## Department of Energy

Oak Ridge Office  
P.O. Box 2001  
Oak Ridge, Tennessee 37831

December 15, 2009

Mr. Michael J. Fietze, Director  
Prime Contract Administration  
UT-Battelle, LLC  
P.O. Box 2008  
Oak Ridge, TN 37831-6262

Dear Mr. Fietze:

### **CONTRACT NO. DE-AC05-00OR22725 - MODIFICATION NO. 308**

A fully executed copy of the subject contract modification is enclosed for your retention. This modification obligates American Recovery and Reinvestment Act of 2009 funding in the amount of \$200,000 to the contract for an Office of Energy Efficiency and Renewable Energy project entitled "Federal Laboratory Support for Recovery Act Transactions ARRA FY09".

If you have any questions, please contact me at 576-4523.

Sincerely,

*Mark A. Million*

Mark A. Million  
Contracting Officer

Enclosure

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE	PAGE OF PAGES 1   3
2. AMENDMENT/MODIFICATION NO. 308	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO. 10SC001893	5. PROJECT NO. (If applicable)
6. ISSUED BY Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518	7. ADMINISTERED BY (If other than Item 6) Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) UT-BATTELLE, LLC Attn: Michael J. Freitze, Director Prime Contract Administration Post Office Box 2008 OAK RIDGE TN 37831-6231		(x) 9A. AMENDMENT OF SOLICITATION NO.	9B. DATED (SEE ITEM 11)
CODE 099114287	FACILITY CODE	x 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22725	10B. DATED (SEE ITEM 13) 10/18/1999

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers  is extended,  is not extended.  
Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required) Net Increase: \$200,000.00  
See Page 3.

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) 970.5232-4 OBLIGATION OF FUNDS (DEC 2000), P.L. 111-5, and P.L. 95-91

E. IMPORTANT: Contractor  is not,  is required to sign this document and return 0 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

The administrative office (administrative contracting activity) for this award/modification/amendment is 00518.

The administrative office (administrative contracting activity) code is needed by the contractor/recipient for reporting to FederalReporting.gov concerning awards made with funding from the American Recovery and Reinvestment Act of 2009 (ARRA or Recovery Act).

Subj to Retent: N

Continued ...

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Mark A. Million
15B. CONTRACTOR/OFFEROR  (Signature of person authorized to sign)	15C. DATE SIGNED
16B. UNITED STATES OF AMERICA <i>Mark A. Million</i> (Signature of Contracting Officer)	16C. DATE SIGNED 12/14/2009

NSN 7540-01-152-8070  
Previous edition unusable

STANDARD FORM 30 (REV. 10-83)  
Prescribed by GSA  
FAR (48 CFR) 53.243

**CONTINUATION SHEET**

REFERENCE NO. OF DOCUMENT BEING CONTINUED  
DE-AC05-00OR22725/308

PAGE OF  
2 3

NAME OF OFFEROR OR CONTRACTOR  
UT-BATTELLE, LLC

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
	Recovery TAS::89 0331::TAS  See Page 3.  ,FOB: Destination Period of Performance: 04/01/2000 to 03/31/2010				

**Block 12 “ACCOUNTING AND APPROPRIATIONS DATA,” continued.**

**Funds Obligated for Recovery Act Projects:**

All required accounting and appropriations data, including the Treasury Accounting Symbol and Fund Code is hereby provided as Attachment 2 to this modification.

**Block 14 “DESCRIPTION OF AMENDMENT/MODIFICATION,” continued.**

- A. This modification is issued to obligate American Recovery and Reinvestment Act (Recovery Act) of 2009 funds in the amount of \$200,000 to the Work Authorization for the project entitled “Federal Laboratory Support for Recovery Act Transactions ARRA FY09”.
- B. Accordingly, pursuant to Section I Contract Clause – DEAR 970.5232-4 entitled “Obligation of Funds,” additional funds in the amount of \$200,000 are hereby obligated in support of Recovery Act activities. The total amount of funds obligated under this contract since its inception is increased from \$10,729,687,319.55 to \$10,729,887,319.55.
- C. The specific Contractor Recovery Act Statement of Work, Milestones, Outcomes and Measures, and Deliverables funded by this modification are identified in the following referenced Work Authorization(s):

<b>Work Authorization Number</b>	<b>Work Authorization Title</b>
WA No. 470002-20476-10 (Attachment 1)  (Number from Block 8 of the Work Authorization)	Federal Laboratory Support for Recovery Act Transactions ARRA FY09

- D. The work described in this modification shall be performed using funds obligated under this contract, which have been appropriated under the Recovery Act of 2009, (Pub. L. 111-5), and as such, is subject to the special statutory conditions and the additional contractual terms and conditions that are listed in section E below and previous contract modifications related to the Recovery Act. The funds obligated hereunder shall only be used to accomplish the work as set forth in section C above and may not be used for any other purpose without the prior written consent of the Contracting Officer.
- E. The Contractor shall complete all Recovery Act Work funded by this modification in accordance with Recovery Act requirements, including the required completion dates specified therein, and by the completion date identified in the approved work authorization for the activity.
- F. All other terms and conditions remain unchanged.

# ATTACHMENT 1

## WORK AUTHORIZATION

U.S. DEPARTMENT OF ENERGY CONTRACT WORK AUTHORIZATION			
1a. Solicitation/Project Title: Federal Laboratory Support for Recovery Act Transactions ARRA FY09		1b. Work Proposal Number (if applicable): FWP# 999999999	
2. Headquarters Program Point of Contact: Name: Thomas Heavey      Organization Code: EE-3B      Telephone No: (202) 586-4501			
3. Headquarters Budget Point of Contact: Name: Thomas Heavey      Organization Code: EE-3B      Telephone No: (202) 586-4501			
4. Responsible Program: Office of Energy Efficiency and Renewable Energy		5. Responsible Secretarial Officer: Catherine Zoi	
6. Responsible Field Element: Oak Ridge Operations Office			
7a. Site and Facility Management Contractor: University of Tennessee - Battelle (Oak Ridge National Laboratory)		7b. Contractor Point of Contact: Name: Michaela Martin      Telephone No: (865) 574- 8586	
8. Work Authorization Number: * -470002-20476-10		9. Revision Number: 0	
10. Funds Authorized during FY 2010:			
<u>B&amp;R Code</u>	<u>Previous</u>	<u>Change</u>	<u>Current</u>
EB5100000-05794- 1005098	50	<del>\$400,000</del> \$200,000 NCL, 12/2/09	<del>\$400,000</del> \$200,000 NCL, 12/2/09
11. Performance period covered by funds: From: 10/1/2009 To: 8/30/2010		12. Work Start Date: 10/1/2009	13. Expected Completion Date: 9/30/2010
14. Statement of Work: Carryover funding in the amount of \$200,000 is authorized for Program Direction. These funds are made available for Project #20476 - Federal Laboratory Support for Recovery Act Transactions ARRA FY09. These funds are to be distributed to Agreement # 19096-ORNL Support for ARRA Transactions. ***ARRA Project Code 2004040** Funds project management and technical staff assistance for the EECBG Program. The laboratory recipient of the funds is responsible for reporting all funding obligations and costs to headquarters. The laboratory recipient must maintain obligations and cost data at the agreement level and the data must be made available to EERE.			
15. Reporting Requirements: (Status reports, scientific or technical information or similar)			
16. Work Authorization Program Official:			
Name (typed): A. Avon Meacham, Director OPBA		Signature: <i>A. Avon Meacham</i>	Date: 11/24/09
17. DOE Field Organization Official:			
Name (typed): <i>Johnny E. Moore</i>		Signature: <i>M. Y. Brister</i>	Date: 12/3/09
18. Contractor's Authorized Representative:			
Name (typed): Dana Christensen		Signature: <i>Dana Christensen</i>	Date: 4 Dec 2009
19. DOE Contracting Officer (or delegated representative)			
Name (typed): MARK A. MILLION		Signature: <i>Mark A. Million</i>	Date: 12/2/09
* The work authorization number will consist of the program code, AFP code, solicitation/project id and the fiscal year.			

## ATTACHMENT A – CONTRACTOR RECOVERY ACT PERFORMANCE REQUIREMENTS

### **Section A: Contractor Recovery Act Schedule or Milestone Requirements**

**The contractor shall review and evaluate EECBG grants in accordance with the schedule and milestones established by the ORO EECBG program team.**

**Milestones: Provide technical assistance and application review for block grant applications as requested.**

### **Section B: Contractor Recovery Act Performance Outcomes and Measures**

**The contractor will become proficient, knowledgeable, and subject matter experts in EECBG grant reviews and evaluations. All reviews and evaluations will be conducted and completed in accordance with the procedures, milestones, and schedule specified by the ORO EECBG program team. The contractor will be assessed on the quality and completeness of EECBG grant reviews and evaluations. This will include the ability to successfully articulate and apply the goals and objectives of EECBG in conducting reviews and evaluations.**

### **Section C: Contractor Recovery Act Deliverables**

- **Review and evaluate EECBG materials in support of the ORO EECBG program team and established procedures, milestones, and schedule.**
- **Participate in EECBG implementation as subject matter experts as required.**

## ATTACHMENT 2

### FINANCIAL PLAN REPORT

Financial Plan Number: 2  
 Contract Modification Number: \*\* No MOD \*\*  
 Rpt Entity: OR OR3/OR4 - Oak Ridge National Lab, X-10, UT-Batelle

Fiscal Year: 2010  
 Fiscal Month: 03

## Financial Plan Report - Detail

Site: OR

**OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100331)**

Report Generated on: December 11, 2009 at 11:11:57 AM

Rpt Entity	Fund Code	Leg FT	Program	Legacy B&R	Obj. Class	Local Use	Project	WFO	Legacy Order Number	Beginning Uncosted Obs	Previous	BA Change	Revised	Total Available
470002	05794	ZT	1004172	BM0102030	25400	0000000	2004020	0000000		705,763.85	0.00	0.00	0.00	705,763.85
470002	05794	ZT	1004173	BM0102060	25400	0000000	2004000	0000000		655,029.94	0.00	0.00	0.00	655,029.94
470002	05794	ZT	1004173	BM0102060	25400	0000000	2004570	0000000		4,999,613.74	0.00	0.00	0.00	4,999,613.74
<b>Total for Program Parent/Control Point: BM0100000</b>										6,360,407.53	0.00	0.00	0.00	6,360,407.53
470002	05794	ZT	1004281	EB4005020	25400	0000000	2004190	0000000		1,920,000.00	0.00	0.00	0.00	1,920,000.00
<b>Total for Program Parent/Control Point: EB4000000</b>										1,920,000.00	0.00	0.00	0.00	1,920,000.00
470002	05794	ZT	1005098	EB5100000	25400	0000000	2004040	0000000		0.00	350,205.00	0.00	350,205.00	350,205.00
<i>AY 2009 - Work Authorization Number: 471999-20758-10B; Appropriation Number 8909/100331</i>														
470002	05794	ZT	1005098	EB5100000	25400	0473100	2004040	0000000		150,291.32	0.00	200,000.00	200,000.00	350,291.32
<i>AY 2009 - Work Authorization Number: 470002-20476-10; Appropriation Symbol 8909/100331</i>														
<b>Total for Program Parent/Control Point: EB5100000</b>										150,291.32	350,205.00	200,000.00	550,205.00	700,496.32
470002	05794	ZT	1004383	ED1904032	25400	0000000	2004320	0000000		10,401,949.12	0.00	0.00	0.00	10,401,949.12
470002	05794	ZT	1004383	ED1904032	31003	0000000	2004320	0000000		4,490,655.42	0.00	0.00	0.00	4,490,655.42
470002	05794	ZT	1004397	ED1907042	25400	0000000	2004310	0000000		1,224,800.00	0.00	0.00	0.00	1,224,800.00
470002	05794	ZT	1004889	ED1908000	25400	0000000	2004320	0000000		3,076,640.05	0.00	0.00	0.00	3,076,640.05
<b>Total for Program Parent/Control Point: ED0000000</b>										19,194,044.59	0.00	0.00	0.00	19,194,044.59
470002	05794	ZT	1004578	EL1702010	25400	0000000	2004160	0000000		646,120.72	0.00	0.00	0.00	646,120.72
470002	05794	ZT	1004580	EL1703010	25400	0000000	2004160	0000000		984,443.83	0.00	0.00	0.00	984,443.83
470002	05794	ZT	1004582	EL1704000	25400	0000000	2004160	0000000		519,945.39	0.00	0.00	0.00	519,945.39
<b>Total for Program Parent/Control Point: EL1700000</b>										2,150,509.94	0.00	0.00	0.00	2,150,509.94
470002	05794	ZT	1005113	WI1100000	25400	0000000	2004380	0000000		2,477,283.34	0.00	0.00	0.00	2,477,283.34
<b>Total for Program Parent/Control Point: WI1100000</b>										2,477,283.34	0.00	0.00	0.00	2,477,283.34
<b>Total for Fund Type: ZT</b>										32,252,536.72	350,205.00	200,000.00	550,205.00	32,802,741.72
470002	05796	ZV	1005116	WI1001020	25400	0000000	2004350	0000000		16,789,712.89	0.00	0.00	0.00	16,789,712.89
<b>Total for Program Parent/Control Point: WI1001000</b>										16,789,712.89	0.00	0.00	0.00	16,789,712.89
<b>Total for Fund Type: ZV</b>										16,789,712.89	0.00	0.00	0.00	16,789,712.89
470002	05797	ZW	1004760	WI0702000	25400	0000000	2004360	0000000		11,568,008.19	0.00	0.00	0.00	11,568,008.19
<b>Total for Program Parent/Control Point: WI0702000</b>										11,568,008.19	0.00	0.00	0.00	11,568,008.19
<b>Total for Fund Type: ZW</b>										11,568,008.19	0.00	0.00	0.00	11,568,008.19
<b>Total for Recipient Code: OR</b>										60,610,257.80	350,205.00	200,000.00	550,205.00	61,160,462.80
<b>Total for Reporting Entity: 470002</b>										60,610,257.80	350,205.00	200,000.00	550,205.00	61,160,462.80
<b>Total for OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100331)</b>										60,610,257.80	350,205.00	200,000.00	550,205.00	61,160,462.80

Financial Plan Number: 2

Fiscal Year: 2010

Fiscal Month: 03

Contract Modification Number: \*\* No MOD \*\*

Rpt Entity: OR OR3/OR4 - Oak Ridge National Lab, X-10, UT-Battelle

## Financial Plan Report - Detail

Site: OR

Page 2 of 2

Report: RFP0001

OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100331)

Report Generated on: December 11, 2009 at 11:11:57 AM

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<u>Agency</u>	<u>Obligation Change Amount</u>
Total Appropriated Funds (Program 40):	0.00
Total DOE and Non-Appropriated Funds:	200,000.00
Grand Total:	200,000.00
Total Non-Appropriated Funds:	0.00

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